



10-30-03

DEP & Ref Room 307

DATE

Express Mail No.: EV 335 857 534 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE#13 1. Aug for
RefundIn re Application of: SIEGALL *et al.*

Confirmation No.: 9908

11-20-03

Serial No.: 09/724,409

Examiner: Canella, K.

Filed: November 28, 2000

Group Art Unit: 1642

For: NUCLEIC ACIDS ENCODING ANTI-CD40
ANTIBODIES AND METHODS OF PRODUCING
RECOMBINANT ANTI-CD40 PROTEINS

Attorney Docket No.: 9632-014

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Refund Section, Accounting Division

Sir:

A fee for an extension of time in excess of the required fee has been paid in connection with the subject patent application. In particular, a 3-month extension of time fee of \$930.00 was timely paid from Pennie & Edmonds LLP Deposit Account No. 16-1150 on July 28, 2003, of which \$465.00 was in excess of the amount required. Submitted concurrently herewith is an Assertion of Small Entity Status Pursuant to 37 C.F.R. § 1.27(c)(2)(i). Applicants hereby request a refund of the excess amount within three months of its payment.

Accordingly, please refund the excess by crediting \$465.00 to Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

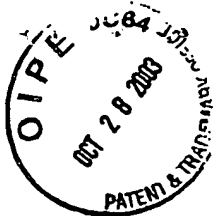
Respectfully submitted,

Date: October 28, 2003

32,605

(Reg. No.)

Adriane M. Antler
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090
Enclosures**BEST AVAILABLE COPY**



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Attorney Docket No.: 9632-014

**ASSERTION OF SMALL ENTITY STATUS
PURSUANT TO 37 C.F.R. § 1.27(c)(2)(i)**

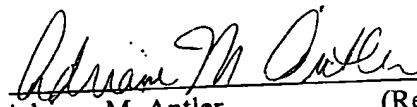
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The captioned application is entitled to small entity status. Accordingly,
Applicants respectfully request that small entity status be accorded to the application.

Respectfully submitted,

Date: October 28, 2003


Adriane M. Antler 32,605
(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure

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Date of Paper October 31, 2003
U.S. Patent No. 5,948,677
Attorney Docket No. 2087-970664
Customer Number 28289

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 5,948,677
Applicant : Jonathan W. Jarvik
Granted : September 7, 1999
Title : Reading Frame Independent Epitope Tagging

Attention: Refund Section, Accounting Division, Office of Finance
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

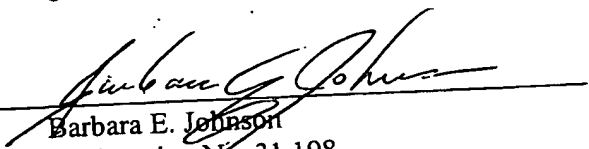
Applicant requests a refund in the above-identified patent. The fourth-year maintenance fee was paid at the large entity rate, however the Applicant is a small entity. The large entity fee paid was \$1,020.00, the small entity fee rate was \$510.00.

Therefore, Applicant requests a refund in the amount of \$510.00 to Deposit Account No. 23-0650.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

By


Barbara E. Johnson

Registration No. 31,198

Attorney for Applicant

700 Koppers Building

436 Seventh Avenue

Pittsburgh, Pennsylvania 15219-1818

Telephone: 412-471-8815

Facsimile: 412-471-4094

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 31, 2003.

Kimberly N. Welday

(Name of Person Mailing Paper)


Signature

10/31/2003
Date

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